

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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|--------------------------------|--|---------------------------------|-----------------|
| <b><u>Applicant(s):</u></b>    | Jacob Mathews et al.   | <b><u>Examiner:</u></b>         | Brian E. Fertig |
| <b><u>Application No.:</u></b> | 10/730,498   | <b><u>Confirmation No.:</u></b> | 2319            |
| <b><u>Filed:</u></b>           | December 5, 2003   | <b><u>Group Art Unit:</u></b>   | 3694            |
| <b><u>For:</u></b>             | SYSTEM AND METHOD FOR TRADING FINANCIAL INSTRUMENTS<br>ACROSS DIFFERENT TYPES OF TRADING PLATFORMS |                                 |                 |

**Mail Stop AF**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REPLY TO OFFICE ACTION**  
**UNDER 37 C.F.R. § 1.116**

Sir/Madam:

In response to the Office Action communication dated October 8, 2008 received in connection with the above-identified pending patent application, Applicants respectfully submit herewith for consideration the following listed amendment parts, which are detailed in this paper pursuant to the requirements prescribed under 37 C.F.R. §1.121:

**AMENDMENT TO THE CLAIMS**, beginning on page 2 of this paper.

**REMARKS**, beginning on page 8 of this paper.